

Maluhia 2023: Promoting a Collaborative Policy Environment

April 25-27, 2023

(As of 22 April 2023)

Background

In 2018, the Daniel K. Inouye Asia-Pacific Center for Security Studies (DKI APCSS) launched a high-level workshop series focused on the strategic approach to the Indo-Pacific. Through formal plenary sessions, breakout groups and sidebar discussions, participants in these Indo-Pacific Strategy workshops have debated and defined the core principles underlying a shared vision of the Indo-Pacific, identified inhibitors and enablers to achieving that vision, and informed the drafting of national strategies and action plans throughout the region.

In April 2023, DKI APCSS will continue this series with "Maluhia 2023: Promoting a Collaborative Policy Environment." With a foundation of shared principles and a growing number of published strategies, this workshop builds upon the outcomes of the previous sessions. The conversation now turns to collaboration. While large-scale collaborative initiatives such as AUKUS, Partnership for the Blue Pacific (PBP) and the Indo-Pacific Economic Framework (IPEF) have been launched, success in securing a free and open Indo-Pacific also requires collaboration at a variety of levels and as a matter of habit.

To get to this point requires a policy environment that enables collaborative action. During Maluhia 2023, participants will consider: Beyond the large-scale initiatives, which priority actions to support a free and open Indo-Pacific require integrated approaches and expanded international collaboration to succeed? Is that integration or collaboration inhibited by any policy gaps or conflicts? How can policies related to export controls, regulatory requirements, combined exercise agreements and other mechanisms be crafted to enable cooperation? What opportunities exist for multilateral policy improvements?

Workshop participants will be senior officials from governments in the Indo-Pacific region, Europe, Canada, and senior U.S. officials from Defense Department, State Department, and the National Security Council.

Intended Outcomes

- Share perspectives on prioritization of regional issues, challenges, and trends as reflected in the current Indo-Pacific strategies of allies and partners in the region and beyond;
- Prioritize areas for expanded integration and collaboration; and
- Identify policy requirements for expanding collaborative efforts.

Methodology

Plenary presentations by senior U.S. government representatives and other participants will set up facilitated sessions where robust, candid discussion will develop responses to targeted framing questions. Using the findings discussed during the plenary sessions and the breakout groups, participants will synthesize and develop prioritized recommendations. To encourage open dialogue, DKI APCSS employs a strict non-attribution policy on all comments made during the workshop. The workshop will be conducted entirely in English. To ensure a robust discussion beyond routine talking points, links to read-ahead materials submitted by participants will be shared with all participants in advance.

For additional information

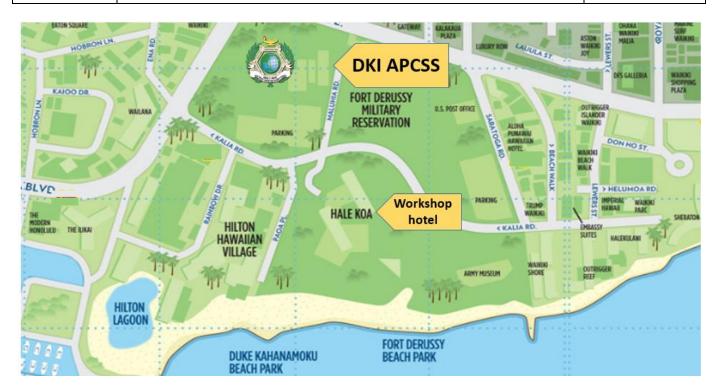
If you have any questions on the design, content, or participants of the workshop, please contact Dr. Lori Forman or Professor Mike Burgoyne, academic leads for the workshop, at lori.a.forman2.civ@mail.mil (phone 1-808-971-4081) or mil (phone 1-808-564-5039). For logistical support, please contact our Regional Engagements Operations team at dsca.derussy.dki-apcss.mbx.apcss-events@mail.mil

DKI APCSS is rebranding the "Indo-Pacific Strategy Workshop" as the Maluhia workshops. The workshop is held in Maluhia Hall on the DKI APCSS campus. Maluhia is a Hawaiian word meaning "Haven of Peace".

TUESDAY, APRIL 25, 2023 DAY THEME: THE POLICY ENVIRONMENT IN THE INDO-PACIFIC

(Dress: Business Casual – Open Collar / Aloha Attire)

| TIME | EVENT | LOCATION |
|-------------|--|-------------------------------|
| 0800 - 1500 | Participant arrivals | Hale Koa Hotel |
| 1640 | Meet in hotel lobby; escort to DKI APCSS | Hale Koa Hotel |
| 1700 – 1715 | Orientation tour of the Daniel K. Inouye Asia-Pacific Center for Security Studies (DKI APCSS) - Mr. Carleton Cramer, Dean, College of Security Studies, DKI APCSS | DKI APCSS |
| 1715 – 1800 | Workshop registration and participant introductions - Dr. Lori Forman, Development Advisor and Professor and Mr. Mike Burgoyne, Professor, DKI APCSS | Maluhia Hall |
| 1800 – 1945 | Opening dinner: Generating Security Collectively in Asia: The U.S. Vision of a Free and Open Indo-Pacific Following welcome remarks, the working dinner launches the workshop, focusing on the theme outlined by U.S. Secretary of Defense Lloyd Austin: "Our central charge is to develop, combine, and coordinate our strengths to maximum effect." Welcome remarks: - Director Pete Gumataotao, DKI APCSS Distinguished Speaker: - Mr. Jed Royal, Principal Deputy Assistant Secretary of Defense for Indo-Pacific Affairs, U.S. Department of Defense | Kalia Room, Hale Koa Hotel |



WEDNESDAY, APRIL 26, 2023 DAY THEME: EXPANDING CAPABILITIES

(Dress: Business Casual - Open Collar / Aloha Attire)

Concept: According to the U.S. National Defense Strategy, integrated deterrence means using every tool of national power and influence, "in close collaboration with counterparts across the U.S. Government and with Allies and partners, to ensure that potential foes understand the folly of aggression." Such collaboration not only deters threats, but also accelerates connectivity, resilience, and prosperity for our populations. It is the basis for securing a free and open Indo-Pacific. Following the opening scene-setting remarks, participants will utilize anonymous, electronic polling technology to assess initial opinions about the state of the region. Participant-focused discussions will explore the enablers and inhibitors to collaboration and integration based on the priorities identified in the various strategies, white papers and action plans supporting a free and open Indo-Pacific.

| Тіме | EVENT | LOCATION |
|-------------|---|-----------------|
| 0800 - 0830 | Morning networking session | Maluhia Terrace |
| 0830 – 0845 | Opening Remarks and Workshop Overview Objective: Introduce the purpose and intended outcomes of the workshop. | Maluhia Hall |
| | - Director Pete Gumataotao, DKI APCSS - Dr. Lori Forman, DKI APCSS | |
| 0845 – 0915 | Interactive Polling: Assess Initial Opinions and Concerns <i>Objective: Explore perspectives on the state of the region and the prospects for collaborative action.</i> | Maluhia Hall |
| | - Dr. Lori Forman, DKI APCSS | |
| 0915 – 0925 | Survey: Pre-Workshop Survey Objective: Set the scene for the following sessions with initial feedback from the participants regarding collaboration priorities and inhibitors. - Mr. Mike Burgoyne, DKI APCSS | Maluhia Hall |
| 0925 – 0930 | Working group guidance: Enablers <i>Objective: Identify policies and practices currently in operation that enable us to "develop, combine and coordinate our strengths to maximum effect".</i> | Maluhia Hall |
| | Framing Questions: 1. What features of your policy framework have been particularly effective in enhancing collaboration? 2. What features of another country's policy framework appeal to you? | |
| | - Mr. Mike Burgoyne, DKI APCSS | |
| 0930 – 0940 | Official Workshop Photo | Maluhia Terrace |
| 0940 – 1000 | Networking Break | Maluhia Terrace |

| Тіме | EVENT | LOCATION |
|-------------|--|-------------------------------|
| 1000 – 1115 | Working Group Session: Enablers NB: Final working groups and assignments will be determined by the sectoral mix of the participants and the list of policy areas identified the previous day. | Seminar rooms |
| | - Defense A Facilitators: Director Pete Gumataotao & Ms. Alexandra Langley, Chief, Strategy & Assessments | C101 |
| | - Defense B Facilitators: Mr. Carleton Cramer, Dean, College of Security Studies & Dr. Lukas Filler, Professor | C103 |
| | - Foreign Affairs Facilitators: Mr. Seth Bailey, Senior Diplomatic Fellow & LTC (P) Jackie Hearne, Military Professor | C104 |
| | - Foreign Affairs & Other Agencies Facilitators: Dr. Alex Vuving, Professor & Dr. Srini Sitaraman, Professor | C105 |
| 1115 – 1215 | Backbrief & Discussion: Enablers Objective: Share outcomes from the working groups and discuss where perspectives are shared and where they diverge. Speakers selected by the working groups. | Maluhia Hall |
| | Defense A Defense B Foreign Affairs Foreign Affairs & Other Agencies | |
| | - Moderator: Dr. Alex Vuving, DKI APCSS | |
| 1215 – 1225 | Transfer to working lunch | |
| 1225 – 1320 | Working Lunch: International Perspectives Objective: In light of new strategies and approaches, discuss how each country is aligning policies, investments, and activities to strengthen deterrence and advance connectivity, resilience and prosperity. Discussion will highlight areas where policies enable – and where they inhibit – collaboration toward these goals. | Kalia Room, Hale Koa Hotel |
| | - Japan - Canada | |
| | Moderator: Mr. Seth Bailey, DKI APCSS | |
| 1320 – 1330 | Return to DKI APCSS | |
| 1330 – 1335 | Working Group Guidance: Inhibitors Objective: Prioritize policy gaps or disconnects which inhibit collaboration. | Maluhia Hall |
| | Framing Questions: 1. Discuss/expand on the pre-workshop survey to identify areas where new or expanded collaboration is needed to "develop, combine, and coordinate our strengths to maximum effect". 2. What factors currently inhibit collaboration on these areas? | |
| | - Dr. Lori Forman, DKI APCSS | |

| TIME | EVENT | LOCATION |
|-------------|--|-----------------|
| 1335– 1500 | Working Group Session: Inhibitors NB: Final working groups and assignments will be determined by the sectoral mix of the participants and the list of policy areas identified the previous day. | Seminar Rooms |
| | - Defense A Facilitators: Director Pete Gumataotao & Ms. Alexandra Langley | C101 |
| | - Defense B Facilitators: Mr. Carleton Cramer & Dr. Lukas Filler | C103 |
| | - Foreign Affairs Facilitators: Mr. Seth Bailey & LTC (P) Jackie Hearne | C104 |
| | - Foreign Affairs & Other Agencies Facilitators: Dr. Alex Vuving & Dr. Srini Sitaraman | C105 |
| 1500 – 1520 | Networking Break | Maluhia Terrace |
| 1520 – 1620 | Backbrief & Discussion: Inhibitors Objective: Share outcomes from the working groups and discuss where perspectives are shared and where they diverge. Speakers selected by the working groups. | Maluhia Hall |
| | Defense A Defense B Foreign Affairs Foreign Affairs & Other Agencies | |
| | - Moderator: Mr. Carleton Cramer | |
| 1620 – 1630 | Day 1 Closing Remarks and Announcements | Maluhia Hall |
| | - Dr. Lori Forman & Mr. Mike Burgoyne, DKI APCSS | |

NOTE: This evening is free for executive time and self-organized sidebar meetings.

THURSDAY, APRIL 27, 2023 DAY THEME: ADDRESSING POLICY INHIBITORS

(Dress: Business Casual - Open Collar / Aloha Attire)

Concept: The second full day features both working group and plenary sessions to discuss policy requirements for expanded collaboration. Working groups organized by sub-region will consider the areas identified the previous day and apply those considerations to a security scenario in their region. By the end of the day, participants will refine and prioritize the challenges. The workshop ends with commentary by the U.S. and other officials on the next steps for addressing these policy requirements.

| Тіме | EVENT | LOCATION |
|-------------|--|-----------------|
| 0800 – 0830 | Morning Networking | Maluhia Terrace |
| 0830 - 0835 | Opening Remarks and Announcements - Mr. Mike Burgoyne, DKI APCSS | Maluhia Hall |
| 0835 – 0905 | Plenary: Reflections Objective: Participants share thoughts on the inhibitors identified Wednesday afternoon after having the opportunity to reflect upon the topic overnight. Moderator: Mr. Carleton Cramer, DKI APCSS | Maluhia Hall |
| 0905 – 1030 | Plenary Session: Inhibitors to Collaboration – U.S. Perspectives Objective: A panel of the U.S. Government participants will reflect on the inhibitors identified by the participants and discuss how their offices are aligning policies, investments, and activities to build capability and strengthen deterrence through collaboration. Time includes Q&A and discussion. Mr. Stanley Brown, Principal Deputy Assistant Secretary, Bureau of Political Military Affairs, Department of State Ms. Camille Dawson, Deputy Assistant Secretary of State, Bureau of East Asia and Pacific Affairs Mr. Rick Waters, China Coordinator and Deputy Assistant Secretary of State, Bureau of East Asia and Pacific Affairs Ms. Afreen Akhter, Deputy Assistant Secretary of State, Bureau for South and Central Asian Affairs Moderator: Mr. Jed Royal, Department of Defense | Maluhia Hall |
| 1030 – 1055 | Networking Break / Prep polling session | Maluhia Terrace |
| 1055 – 1125 | Day 2 Polling Objective: Ask participant-generated polling questions. - Dr. Lori Forman, DKI APCSS | Maluhia Hall |

| Тіме | EVENT | LOCATION |
|-------------|---|-------------------------------|
| 1125 – 1130 | Working Group Guidance: Scenario Application <i>Objective: Evaluate the feedback on policies that enable or inhibit collaboration against a scenario of concern to the participants.</i> <u>Framing Questions:</u> 1. Identify a near-term security scenario of major concern to the group that requires expanding collaboration with other countries or organizations. 2. Confirm or amend the list of enablers and inhibitors to collaboration on this scenario. 3. Prioritize which inhibitors should be addressed first. | Maluhia Hall |
| | - Dr. Lori Forman, DKI APCSS | |
| 1130 – 1140 | Transfer to working lunch | |
| 1140 – 1250 | Working Lunch: International Perspectives Objective: In light of new strategies and approaches, discuss how each country is aligning policies, investments, and activities to strengthen deterrence and advance connectivity, resilience and prosperity. Discussion will highlight areas where policies enable – and where they inhibit – collaboration toward these goals. - Korea - United Kingdom - Australia Moderator: Dr. Srini Sitaraman, DKI APCSS | Kalia Room, Hale Koa Hotel |
| 1250 – 1300 | Return to DKI APCSS | |
| 1300 – 1415 | Working Group Session: Scenario Application <i>NB: Final working group configuration will be determined by the geographic mix of the confirmed participants.</i> | Seminar Rooms |
| | - South Asia Facilitators: Director Pete Gumataotao & Dr. Srini Sitaraman | C101 |
| | - Northeast Asia Facilitators: Mr. Seth Bailey & LTC (P) Jackie Hearne | C102 |
| | - Southeast Asia Facilitators: Dr. Alex Vuving & Dr. Lukas Filler | C103 |
| | - Pacific Islands Facilitators: Mr. Carleton Cramer & Dr. Scott Harold, Senior Advisor, Department of Defense | C104 |
| | - Regional & Extra-Regional Partners Facilitators: Ms. Alexandra Langley & Mr. Mike Burgoyne | C105 |
| | - United States Facilitator: COL Matt Kent, Associate Dean for Operations, DKI APCSS | C106 |
| 1415 – 1430 | Networking Break | Maluhia Terrace |

| Тіме | EVENT | LOCATION |
|-------------|---|---------------------------------|
| 1430 – 1530 | Plenary Backbrief & Discussion: Scenario Application <i>Objective: Share outcomes from the working groups and discuss where perspectives are shared and where they diverge.</i> | Maluhia Hall |
| | South Asia Northeast Asia Southeast Asia Pacific Islands Regional & Extra-Regional Partners Moderator: Dr. Lukas Filler, DKI APCSS | |
| 1530 – 1600 | Workshop Closeout Comments and Evaluation - Mr. Jed Royal, Department of Defense - Director Pete Gumataotao, DKI APCSS - Workshop Feedback: Dr. Lori Forman, DKI APCSS | Maluhia Hall |
| 1600 | Workshop Concluded | |
| 1615 – 1745 | Social Hour - Informal, no-host social period to continue conversations. Details will be provided at the workshop. | Barefoot Bar, Hale Koa Hotel |